

Patentable Subject Matter

William Fisher

September 2021

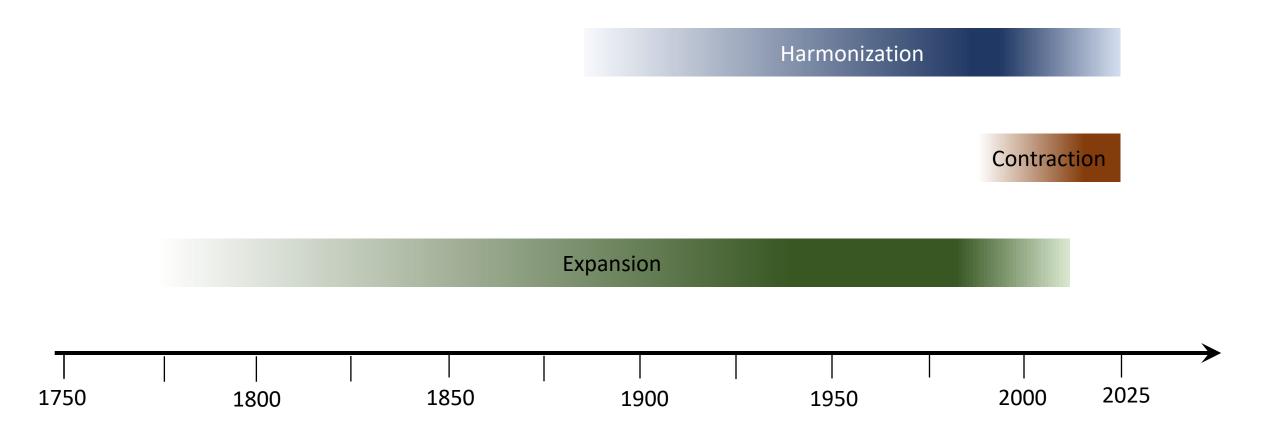


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Global Trends in Subject Matter Coverage





Constitution of the United States: Article 1, Section 8, Clause 8

The Congress shall have Power ... To promote the Progress of Science and useful Arts, by securing for limited Times to Authors and Inventors the exclusive Right to their respective Writings and Discoveries



Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled, That upon the petition of any person or persons to the Secretary of State, the Secretary for the department of war, and the Attorney General of the United States, setting forth, that he, she, or they, hath or have invented or discovered any useful art, manufacture, engine, machine, or device, or any improvement therein not before known or used, and praying that a patent may be granted therefor, it shall and may be lawful to and for the said Secretary of State, the Secretary for the department of war, and the Attorney General, or any two of them, if they shall deem the invention or discovery sufficiently useful and important, to cause letters patent to be made out in the name of the United States....



... any useful art, manufacture, engine, machine, or device, or any improvement therein ...

Patent Act of 1793

... any new and useful art, machine, manufacture, composition of matter, or any new and useful improvement ...

Patent Act of 1952

... any new and useful <u>process</u>, machine, manufacture, composition of matter, or any new and useful improvement ...

a way of doing something



... any useful art, manufacture, engine, machine, or device, or any improvement therein ...

Patent Act of 1793

... any new and useful art, machine, manufacture, composition of matter, or any new and useful improvement ...

Patent Act of 1952

... any new and useful process, <u>machine</u>, manufacture, composition of matter, or any new and useful im provement ...

artificial structure with moving parts



... any useful art, manufacture, engine, machine, or device, or any improvement therein ...

Patent Act of 1793

... any new and useful art, machine, manufacture, composition of matter, or any new and useful improvement ...

Patent Act of 1952

... any new and useful process, machine, <u>manufacture</u>, composition of matter, or any new and useful improvement ...

static artificial structure



... any useful art, manufacture, engine, machine, or device, or any improvement therein ...

Patent Act of 1793

... any new and useful art, machine, manufacture, composition of matter, or any new and useful improvement ...

Patent Act of 1952

... any new and useful process, machine, manufacture, composition of matter, or any new and useful improvement ...

chemical compound



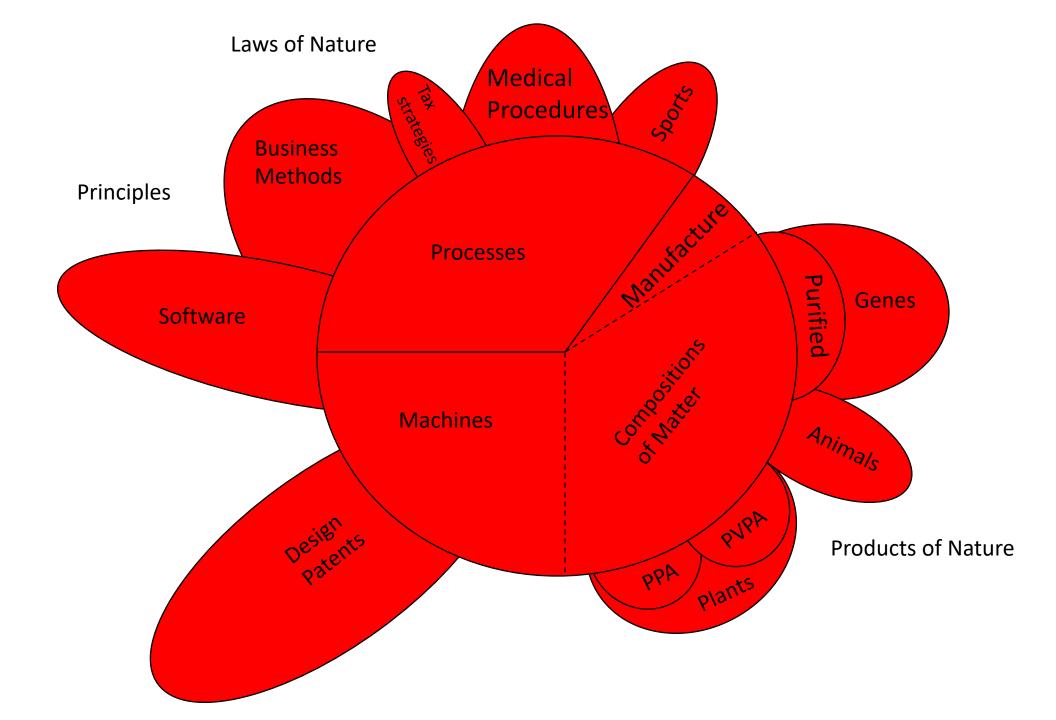




TABLE 1 LITIGATION OF PATENTED INVENTIONS, 1800–1860

| Decade | Patent Cases | Number of Patents Litigated | Total Patents | Cases as Percentage of All Patents | | |
|-----------|-----------------|-----------------------------------|------------------|------------------------------------------|--|--|
| 1800–1809 | 6 | 6 | 911 | 0.6 | | |
| 1810-1819 | 37 | 20 | 1,998 | 1.8 | | |
| 1820-1829 | 36 | 27 | 2,697 | 1.3 | | |
| 1830-1839 | 37 | 14 | 5,077 | 0.7 | | |
| 1840-1849 | 198 | 95 | 5,516 | 3.6 | | |
| 1850-1859 | 415 | 171 | 19,661 | 2.1 | | |
| 1860 | 64 | 18 | 4,363 | 1.5 | | |



TABLE 2
PERCENTAGE DISTRIBUTION OF PATENTS AND CASES BY REGION AND SECTOR,
1790–1860
(row percentages)

| | Agriculture | Building | Manufacturing | Transportation | Other | Total |
|-----------------------|-------------|----------|---------------|----------------|-------|-------|
| Northern New England | | | | | | |
| Cases | 20.0 | 20.0 | 50.0 | 10.0 | 0.0 | 1.7 |
| Patents | 27.3 | 21.3 | 38.8 | 7.8 | 4.9 | 7.7 |
| Southern New England | | | | | | |
| Cases | 13.0 | 17.6 | 55.6 | 6.5 | 7.4 | 18.5 |
| Patents | 13.2 | 16.4 | 53.4 | 9.5 | 7.5 | 21.0 |
| New York | | | | | | |
| Cases | 10.8 | 22.8 | 45.6 | 15.2 | 5.7 | 27.0 |
| Patents | 22.9 | 17.9 | 38.1 | 13.4 | 7.8 | 31.7 |
| Pennsylvania | | | | | | |
| Cases | 11.1 | 16.7 | 33.3 | 22.2 | 16.7 | 12.3 |
| Patents | 19.0 | 14.4 | 41.6 | 14.9 | 10.1 | 13.6 |
| Southern Mid-Atlantic | | | | | | |
| Cases | 11.8 | 17.6 | 58.8 | 11.8 | 0.0 | 2.9 |
| Patents | 24.9 | 2.3 | 35.1 | 18.3 | 9.3 | 7.4 |
| Midwest | | | | | | |
| Cases | 13.1 | 31.2 | 42.6 | 11.5 | 1.6 | 10.4 |
| Patents | 33.3 | 16.3 | 31.6 | 12.5 | 6.3 | 6.4 |
| District of Columbia | | | | | | |
| Cases | 15.9 | 19.6 | 38.4 | 15.9 | 10.1 | 23.6 |
| Patents | 12.5 | 25.0 | 34.0 | 21.9 | 6.0 | 1.4 |
| Other | | | | | | |
| Cases | 28.6 | 28.6 | 19.1 | 9.5 | 14.3 | 3.6 |
| Patents | 34.8 | 15.8 | 27.4 | 11.8 | 7.0 | 9.8 |
| Total Cases | 79 | 124 | 254 | 81 | 47 | 585 |
| Percent | 13.5 | 21.2 | 43.4 | 13.9 | 8.0 | 100 |
| Total Patents | 1,009 | 753 | 812 | 580 | 361 | 4,515 |
| Percent | 22.4 | 16.7 | 40.1 | 12.9 | 8.0 | 100 |

Source: Zorina Kahn, "Property Rights and Patent Litigation in Early Nineteenth Century America," Journal of Economic History 55 (1995), 58-97



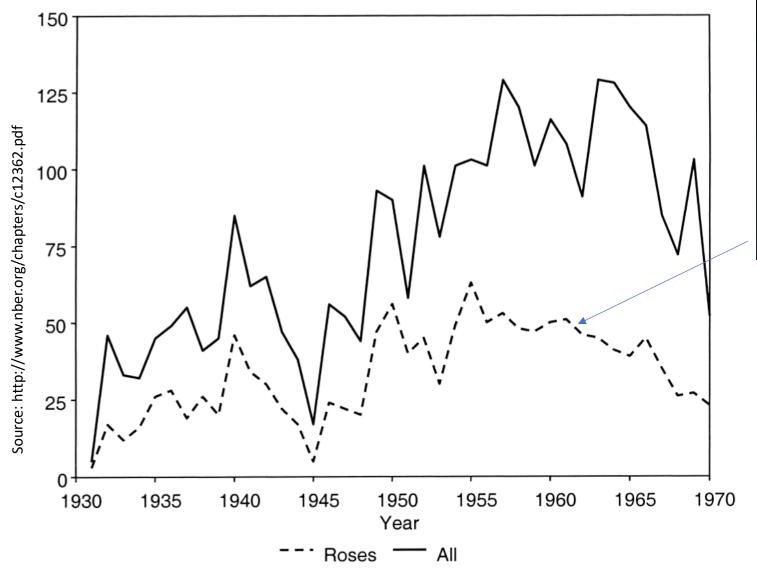
Asexual Reproduction

Asexual reproduction is the propagation of a plant without the use of fertilized seeds to assure an exact genetic copy of the plant being reproduced. Any known method of asexual reproduction which renders a true genetic copy of the plant may be employed. Acceptable modes of asexual reproduction would include but may not be limited to:

| Rooting Cuttings | Grafting and Budding |
|------------------|----------------------|
| Apomictic Seeds | Bulbs |
| Division | Slips |
| Layering | Rhizomes |
| Runners | Corms |
| Tissue Culture | Nucellar Embryos |

Source: https://www.uspto.gov/patents-getting-started/patent-basics/types-patent-applications/general-information-about-35-usc-161#heading-4







Notes: Plant patents from the USPTO Patent Statistic Reports (available at www.uspto.gov).



Source: https://www.newswire.com/news/weeks-roses-introduces-seven-new-rose-varieties-for-spring-2017-18800198

U.S. Patent

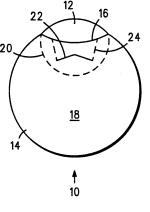
5,080,111

Abstract:

 A substantially self-sealing episcleral incision having an approximate central point 1.5 to 3.0 millimeters posterior to the limbus. Portions of the incision extending from the approximate central point extend laterally away from the curvature of the limbus. The configuration of the self-sealing incision allows the incision to seal as the eye is inflated following surgery and therefore requires no sutures for sealing. Accordingly, the probability of astigmatism is eliminated or greatly reduced and the reliance on sutures is eliminated.

BRIEF DESCRIPTION OF THE DRAWINGS

- FIG. 1 is a schematic representation of a top view of a human eye;
- FIG. 2 is a schematic representation of the interior of a human eye; and
- FIGS. 3-4 are highly enlarged representations of the configurations of incisions in accordance with the present invention.



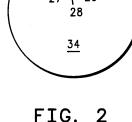


FIG. 1

FIG. 3

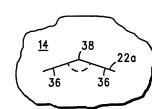
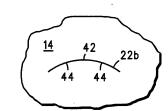


FIG. 4





Patent No. 5,960,411 (Sept. 28, 1999)

Abstract:

• A method and system for placing an order to purchase an item via the Internet. The order is placed by a purchaser at a client system and received by a server system. The server system receives purchaser information including identification of the purchaser, payment information, and shipment information from the client system. The server system then assigns a client identifier to the client system and associates the assigned client identifier with the received purchaser information. The server system sends to the client system the assigned client identifier and an HTML document identifying the item and including an order button. The client system receives and stores the assigned client identifier and receives and displays the HTML document. In response to the selection of the order button, the client system sends to the server system a request to purchase the identified item. The server system receives the request and combines the purchaser information associated with the client identifier of the client system to generate an order to purchase the item in accordance with the billing and shipment information whereby the purchaser effects the ordering of the product by selection of the order button.



Patent No. 5,800,268 (Sept. 1, 1998)

Abstract:

 A method by which a player may participate in a live casino game from a location remote from the casino is disclosed. A player establishes an information link with a casino from an interface station including a video monitor and keypad. In response to the player's entry of financial account information, the casino establishes an information line with the player's financial institution. The casino assigns the player to a gaming table at which a "live" game is occurring, transmitting all images of game play and instructions to the player. The player transmits bet and game play information to the casino. Because of the open line between the casino and player's financial institution, bets are checked, winnings paid, and losses debited, instantaneously.

U.S. Patent 5,800,268 Sep. 1, 1998 Sheet 1 of 3 LOCATION REMOTE FORM CASINO CASINO COMPUTER INFORMATION INFORMATION PLAYER LINE **PLAYER** INTERFACE FINANCIAL STATION INTITUTION TABLE GAME FIG. 1 FIG. 2 2000 Double Cancel Down30a-j <Insurance Dial / HitStayDisc

\$20.00

\$5.00

FIG. 3



Patent No. 5,191,573 (March 2, 1993)

Abstract:

 The present invention is a method for transmitting a desired digital video or audio signal stored on a first memory of a first party to a second memory of a second party. The method comprises the steps of transferring money via a telecommunications line to the first party from the second party. Additionally, the method comprises the step of then connecting electronically via a telecommunications line the first memory with the second memory such that the desired signal can pass therebetween. Next, there is the step of transmitting the desired digital signal from the first memory with a transmitter in control and in possession of the first party to a receiver having the second memory at a location determined by the second party. The receiver is in possession and in control of the second party. There is also the step of then storing the digital signal in the second memory.



Patent No. 5,848,396 (Dec. 8, 1998)

Abstract:

 Computer network method and apparatus provides targeting of appropriate audience based on psychographic or behavioral profiles of end users. The psychographic profile is formed by recording computer activity and viewing habits of the end user. Content of categories of interest and display format in each category are revealed by the psychographic profile, based on user viewing of agate information. Using the profile (with or without additional user demographics), advertisements are displayed to appropriately selected users. Based on regression analysis of recorded responses of a first set of users viewing the advertisements, the target user profile is refined. Viewing by and regression analysis of recorded responses of subsequent sets of users continually auto-targets and customizes ads for the optimal end user audience.



The Increased Receptivity to Software Patents

Gottshalk (SCOTUS 1972) Flook (SCOTUS 1978) Diehr (SCOTUS 1981)

Prater (CCPA 1969) Bernhart (CCPA 1969) Musgrave (CCPA 1970) Freeman (CCPA 1977) Walter (CCPA 1980) Abele (CCPA 1982) Iwahashi (CAFC 1989) Arrhythmia (CAFC 1992)

Allappat (CAFC 1994)

> PTO Guidelines (1996)



Estimates of Software Patents Issued in the United States

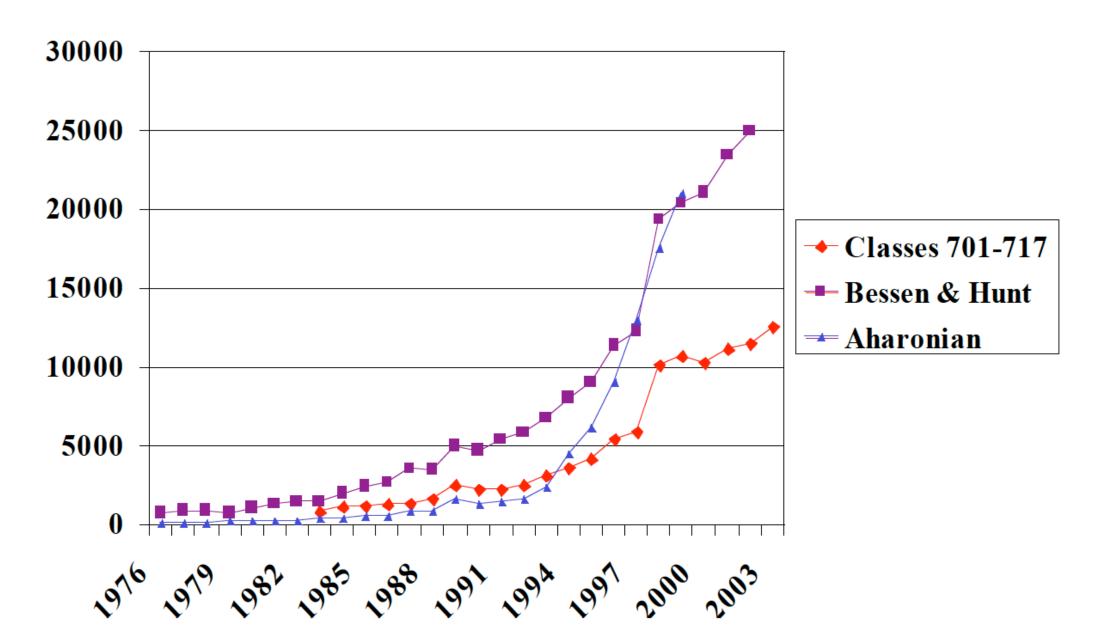
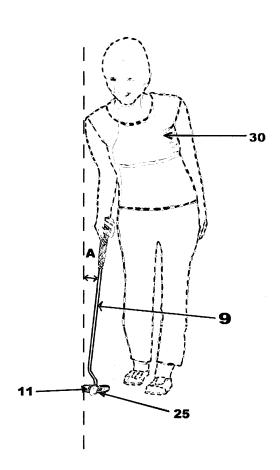


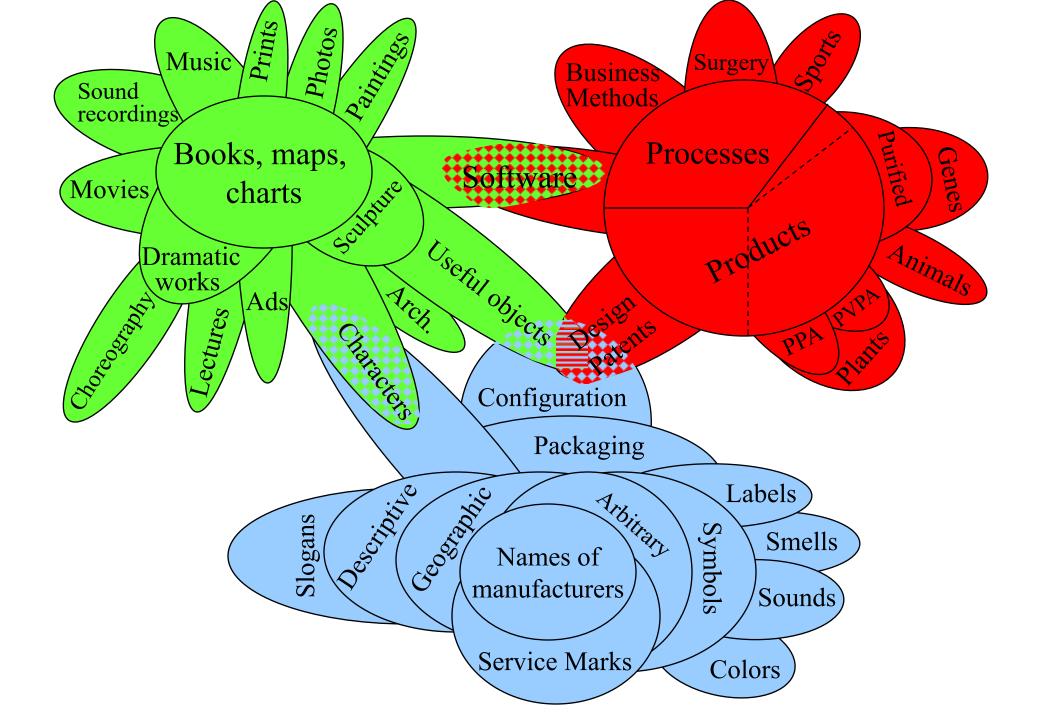




FIG. 4









Potential Explanations for Historical Trends

Expansion (1800-2000)

- 1) Changing Basis of the Economy
 - Agriculture to industry to information processing
- 2) Political Economy
- 3) Ideology
 - Labor Theory
 - Classical Liberalism
 - Romantic conception of inventors
 - Frontier Ethic
 - Pastoral Ideal
 - Celebration of Social Mobility
- 4) "Propertization"

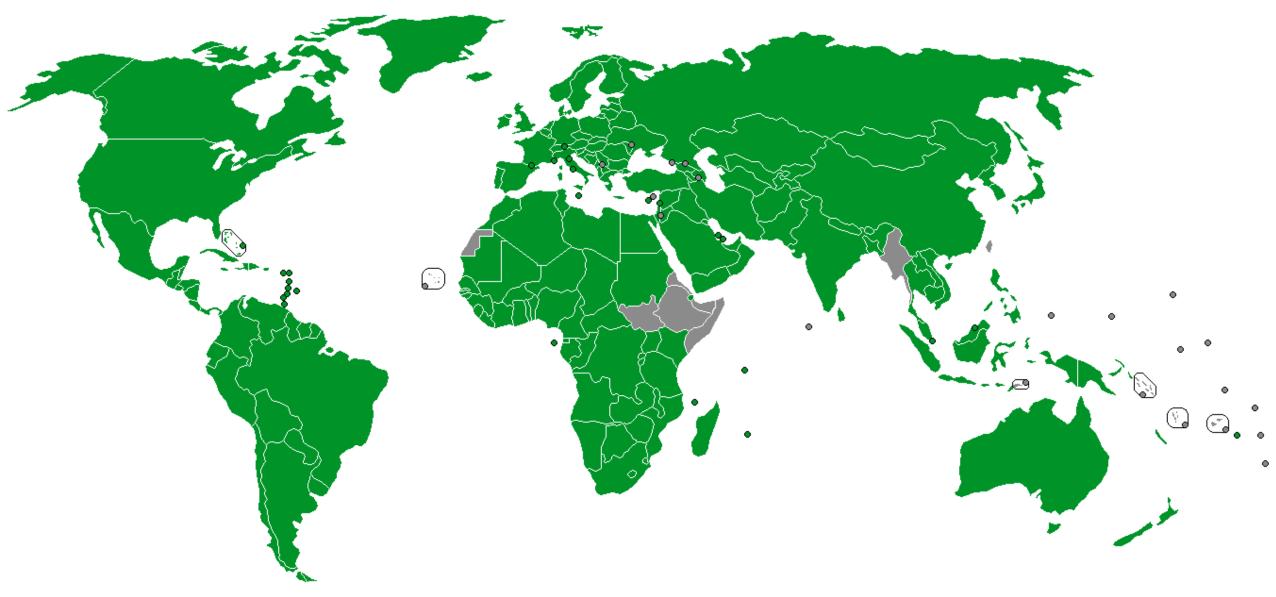
Contraction (2000-2021)

- Political Economy
- Ideology
 - Nationalism/Mercantilism
 - Intensified concern with public health
 - Reservations concerning hubris and Pandora's box
 - Environmentalism



Section B: Harmonization





Paris Convention Member States (as of December 15, 2022)



"Gaps" in Patent Protection in the 92 Member Countries of the Paris Union (1988)

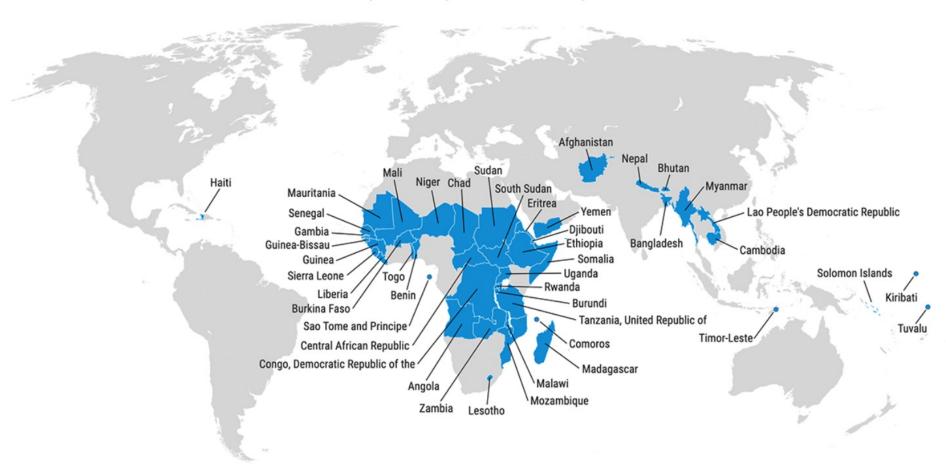
| | Countries |
|----------------------------------------------|-----------|
| Pharmaceutical products | 49 |
| Pharmaceutical processes | 10 |
| Surgical and diagnostic methods | 44 |
| Animals | 45 |
| Plants | 44 |
| Processes for production of plants & animals | 42 |
| Microorganisms | 9 |
| Food products | 35 |
| Processes for production of food | 9 |
| Software | 32 |
| Chemical products | 22 |



Least Developed Countries (LDCs)

(46 countries)

Africa 33, Asia 9, Caribbean 1, Pacific 3



Note: The boundaries and names shown, and the designations used on this map do not imply official endorsement or acceptance by the United Nations

Date: October 2022



Section C: Contraction





35 USC 287(c)

- (1). With respect to a medical practitioner's performance of a medical activity that constitutes an infringement under section 271(a) or (b), the provisions of sections 281, 283, 284, and 285 shall not apply against the medical practitioner or against a related health care entity with respect to such medical activity.
- (2)(A) For the purpose of this subsection, the term "medical activity" means the performance of a medical or surgical procedure on a body, but shall not include (i) the use of a patented machine, manufacture, or composition of matter in violation of such patent, (ii) the practice of a patented use of a composition of matter in violation of such patent, or (iii) the practice of a process in violation of a biotechnology patent.



Table 1. Estimated new cancer cases (thousands), ASRs (per 100,000) and cumulative risks to age 75 (percent) by sex and cancer site worldwide, 2012

| | | Bot | th sexes | | | Male | | | Female | | | |
|--------------------------------------------------|-------|-------|----------------|---------------------|-------|-------|----------------|---------------------|--------|-------|----------------|--------------------|
| Cancer site | Cases | (%) | ASR (World) | Cum. risk (0-74) | Cases | (%) | ASR (World) | Cum. risk (0-74) | Cases | (%) | ASR (World) | Cum.risk (0-74) |
| Lip, oral cavity | 300 | 2.1 | 4.0 | 0.5 | 199 | 2.7 | 5.5 | 0.6 | 101 | 1.5 | 2.5 | 0.3 |
| Nasopharynx | 87 | 0.6 | 1.2 | 0.1 | 61 | 0.8 | 1.7 | 0.2 | 26 | 0.4 | 0.7 | 0.1 |
| Other pharynx | 142 | 1.0 | 1.9 | 0.2 | 115 | 1.5 | 3.2 | 0.4 | 27 | 0.4 | 0.7 | 0.1 |
| Oesophagus | 456 | 3.2 | 5.9 | 0.7 | 323 | 4.3 | 9.0 | 1.1 | 133 | 2.0 | 3.1 | 0.4 |
| Stomach | 951 | 6.8 | 12.1 | 1.4 | 631 | 8.5 | 17.4 | 2.0 | 320 | 4.8 | 7.5 | 0.8 |
| Colorectum | 1360 | 9.7 | 17.2 | 2.0 | 746 | 10.0 | 20.6 | 2.4 | 614 | 9.2 | 14.3 | 1.6 |
| Liver | 782 | 5.6 | 10.1 | 1.1 | 554 | 7.5 | 15.3 | 1.7 | 228 | 3.4 | 5.4 | 0.6 |
| Gallbladder | 178 | 1.3 | 2.2 | 0.2 | 77 | 1.0 | 2.1 | 0.2 | 101 | 1.5 | 2.3 | 0.3 |
| Pancreas | 338 | 2.4 | 4.2 | 0.5 | 178 | 2.4 | 4.9 | 0.6 | 160 | 2.4 | 3.6 | 0.4 |
| Larynx | 157 | 1.1 | 2.1 | 0.3 | 138 | 1.9 | 3.9 | 0.5 | 19 | 0.3 | 0.5 | 0.1 |
| Lung | 1825 | 12.9 | 23.1 | 2.7 | 1242 | 16.7 | 34.2 | 3.9 | 583 | 8.7 | 13.6 | 1.6 |
| Melanoma of skin | 232 | 1.6 | 3.0 | 0.3 | 121 | 1.6 | 3.3 | 0.4 | 111 | 1.7 | 2.8 | 0.3 |
| Kaposi sarcoma | 44 | 0.3 | 0.6 | 0.1 | 29 | 0.4 | 0.8 | 0.1 | 15 | 0.2 | 0.4 | 0.0 |
| Breast | 1677 | 11.9 | 43.3 | 4.6 | | | | | 1677 | 25.2 | 43.3 | 4.6 |
| Cervix uteri | 528 | 3.7 | 14.0 | 1.4 | | | | | 528 | 7.9 | 14.0 | 1.4 |
| Corpus uteri | 320 | 2.3 | 8.3 | 1.0 | | | | | 320 | 4.8 | 8.3 | 1.0 |
| Ovary | 239 | 1.7 | 6.1 | 0.7 | | | | | 239 | 3.6 | 6.1 | 0.7 |
| Prostate | 1112 | 7.9 | 31.1 | 3.8 | 1112 | 15.0 | 31.1 | 3.8 | | | | |
| Testis | 55 | 0.4 | 1.5 | 0.1 | 55 | 0.7 | 1.5 | 0.1 | | | | |
| Kidney | 338 | 2.4 | 4.4 | 0.5 | 214 | 2.9 | 6.0 | 0.7 | 124 | 1.9 | 3.1 | 0.3 |
| Bladder | 429 | 3.1 | 5.3 | 0.6 | 330 | 4.4 | 9.0 | 1.0 | 99 | 1.5 | 2.2 | 0.2 |
| Brain, nervous system | 257 | 1.8 | 3.4 | 0.3 | 140 | 1.9 | 3.9 | 0.4 | 117 | 1.8 | 3.0 | 0.3 |
| Thyroid | 298 | 2.1 | 4.0 | 0.4 | 68 | 0.9 | 1.9 | 0.2 | 230 | 3.5 | 6.1 | 0.6 |
| Hodgkin lymphoma | 66 | 0.5 | 0.9 | 0.1 | 39 | 0.5 | 1.1 | 0.1 | 27 | 0.4 | 0.7 | 0.1 |
| Non-Hodgkin lymphoma | 386 | 2.7 | 5.1 | 0.5 | 218 | 2.9 | 6.0 | 0.6 | 168 | 2.5 | 4.1 | 0.4 |
| Multiple myeloma | 114 | 0.8 | 1.5 | 0.2 | 62 | 0.8 | 1.7 | 0.2 | 52 | 0.8 | 1.2 | 0.2 |
| Leukaemia | 352 | 2.5 | 4.7 | 0.4 | 201 | 2.7 | 5.6 | 0.5 | 151 | 2.3 | 3.9 | 0.4 |
| All cancers excl. non-melanoma skin cancer | 14090 | 100.0 | 182.3 | 18.5 | 7427 | 100.0 | 205.4 | 21.0 | 6663 | 100.0 | 165.3 | 16.4 |

Source: Jacques Ferlay et al., "Cancer incidence and mortality worldwide: Sources, methods and major patterns in GLOBOCAN 2012," International Journal of Cancer 136 (2015): E359-E386



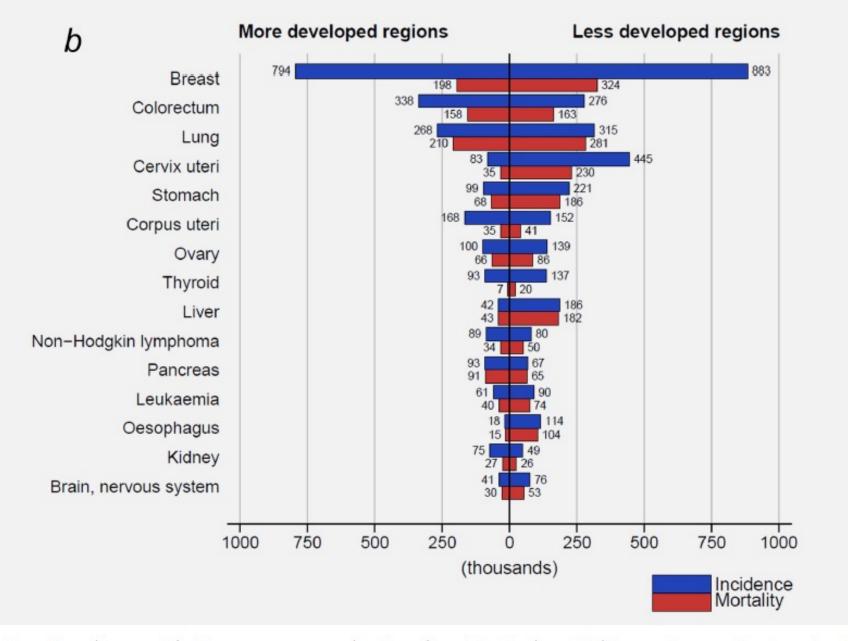


Figure 4. (a) Estimated numbers (thousands) of new cancer cases (incidence) and deaths (mortality) in men in more developed and less developed regions of the world in 2012. (b) Estimated numbers (thousands) of new cancer cases (incidence) and deaths (mortality) in women in more developed and less developed regions of the world in 2012.

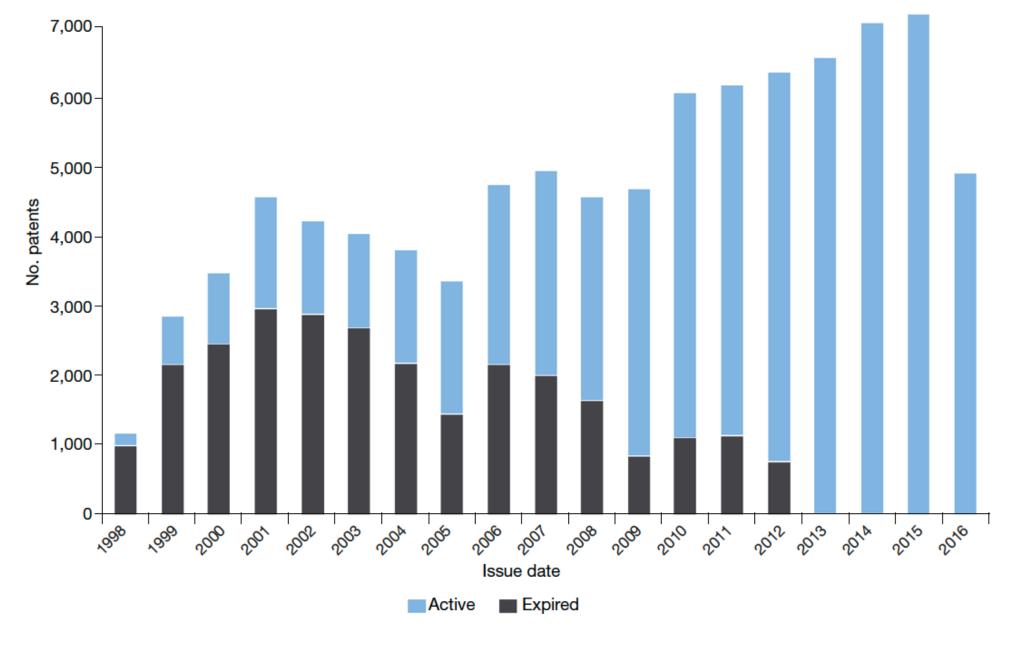
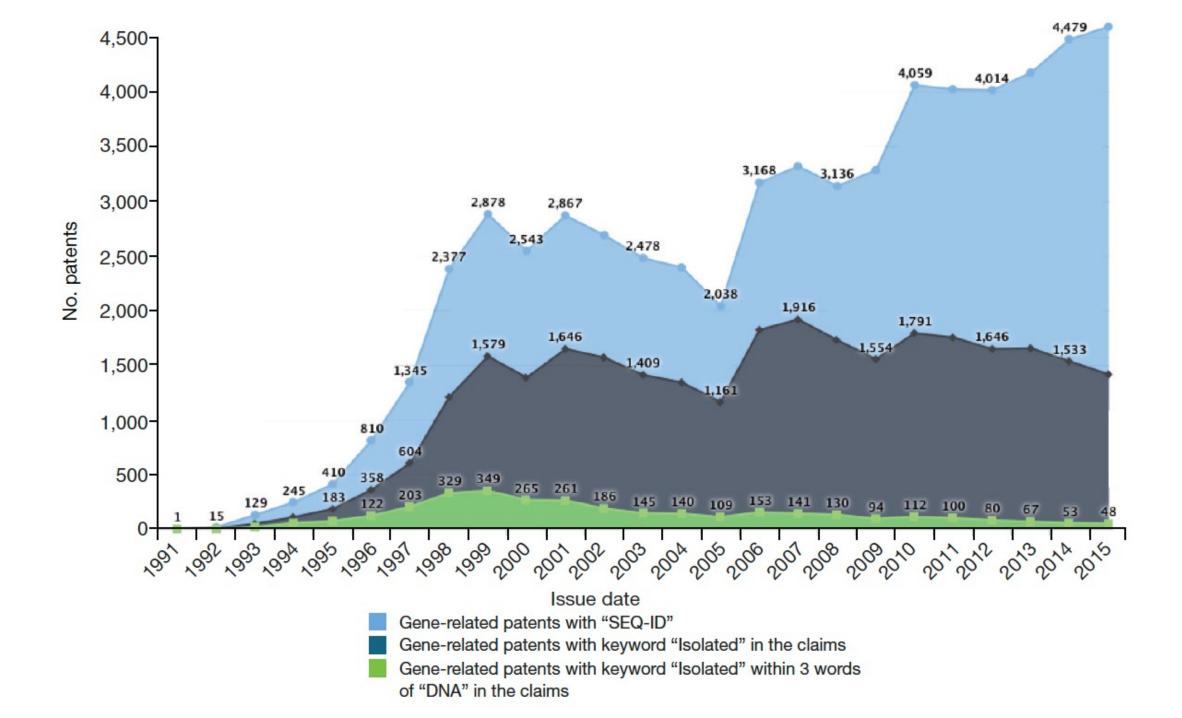


Figure 1 General gene-related patents (defined as any patent containing the S1 search terms in **Table 1**) plotted by their issue date.



VE RI TAS

Source: Mateo Aboy, Kathleen Liddell, Johnathon Liddicoat & Cristina Crespo,

Nature Biotechnology 34 (November 2016): 1119

"Myriad's impact on gene patents,"

2,250 2,000-1,750-1,500_ No. patents 1,250-1,000-750-500_ 250 0 2002 2003 2004 2005 2000 2001 2017 2012 2013 2014 2015 2016 2000 2001 2008 1000 Issue date ■ Small ■ Large

